



### Update of Client's Information 帳戶資料更新表格

Client Name: 客戶名稱:	Account No. : 戶口號碼:
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(Only use the form if you have information to update and please ✓ for the appropriate option. )

(如有更新資料，須填寫以下資料並於所選適當位置加上 ✓ 號。)

#### Part 1 : New Basic Information 第一部分: 新基本資料

☐ Change Address 更改地址 \* Please provide a valid address proof issued within the past 3 month 請提供最近 3 個月內發出的有效地址證明

☐ Residential 住宅

☐ Office 公司


☐ Change the Correspondence Address as (if different from the above) 更改通訊地址為 (如與上述不同)


☐ Change of Telephone no. / Email address 更改電話號碼 / 電郵地址

Home Telephone: 住宅電話:	Office Telephone: 公司電話:
Mobile phone: 流動電話:	Email address: 電郵地址:

☐ Change of bank account details 更改銀行戶口資料:

Bank name 銀行名稱:	Bank account no.: 銀行帳戶號碼:
Others: 其他:	

#### Part 2 : Reset Internet Trading Password 第二部分: 重設網上交易密碼

☐ Reset Internet Trading Password 重設網上交易密碼

PS: The password generated from system will send to the registered e-mail of client only.

註: 本公司只會將密碼發至客戶登記郵箱

#### Part 3 : Employment Status 第三部分: 工作狀況

☐ Employment Status 工作狀況

Name of Employer: 僱主名稱:	Position: 職位:	
Office Address 公司地址:		
New occupation: 職位:	Nature of Business: 業務性質:	Year of Service: 服務年資:

#### Part 4 : New Identity Declaration 第四部分: 新身份聲明

☐ New Identity Declaration 新身份聲明

New Client Name: 新客戶名稱:	
New Nationality: 新國籍:	Jurisdiction of Tax Residence: 稅務居留司法管轄區:
TIN (if applicable): 稅務編號 (若適用):	



**Part 5 : New Financial Status 第五部分:新財務狀況**

☐ Financial information 財務資料

Estimated Net Worth 淨資產值:	<input type="checkbox"/> <HK\$1M	<input type="checkbox"/> HK\$1-2M	<input type="checkbox"/> HK\$2-5M	<input type="checkbox"/> HK\$5-10M	<input type="checkbox"/> >HK\$10M
Liquid Assets 流動資產:	<input type="checkbox"/> <HK\$50K	<input type="checkbox"/> HK\$50-200K	<input type="checkbox"/> HK\$200-500K	<input type="checkbox"/> HK\$500K-1M	<input type="checkbox"/> >HK\$1M
Annual Income 每年收入:	<input type="checkbox"/> <HK\$100K	<input type="checkbox"/> HK\$100-300K	<input type="checkbox"/> HK\$300-500K	<input type="checkbox"/> HK\$500K-1M	<input type="checkbox"/> >HK\$1M
Sources of fund and wealth	<input type="checkbox"/> Income from Earnings 工作收入 <input type="checkbox"/> Investment Proceeds 投資收益 <input type="checkbox"/> Gift 餽贈 資金及財富來源*: *You can choose <input type="checkbox"/> Legal Settlement 訴訟收益 <input type="checkbox"/> Inheritance 遺產繼承 <input type="checkbox"/> Spouse/parent more than 1 option* (可選擇多於一項) <input type="checkbox"/> Sale of business 商業買賣收益 <input type="checkbox"/> Pension/retirement Savings 退休金      配偶 / 父母				

**Part 6 : Client Declaration 第六部分: 客戶聲明**

☐ Change Client Declaration 更新客戶聲明

(a) Are you, either alone or with your spouse, in control of 35% or more of the voting rights of any corporate client of CHAO SHANG? 客戶是否個人或與客戶的配偶共同控制任何潮商的公司客戶 35% 或以上的投票權?  
☐ Yes 是 (please specify 請註明) \_\_\_\_\_ ☐ No 不是

(b) Is your spouse, parent and/or children a client of CHAOSHANG? 客戶的配偶, 父母, 及/或子女 是否 潮商的客戶?  
☐ Yes, whose name is 是, 其姓名為 \_\_\_\_\_ ☐ No 不是

(c) Are you, your spouse, parent and/or children, a director and/or shareholder of a corporate client of CHAO SHANG? 客戶自己, 其配偶, 父母, 及/或子女 是否 潮商的公司帳戶的董事及/或股東?  
☐ Yes, please specify 是, 詳情為 \_\_\_\_\_ ☐ No 不是

(d) Are you related to any CHAOSHANG employee? 客戶是否與潮商任何僱員有親屬關係?  
☐ Yes, such employee's name is 是, 僱員姓名為 \_\_\_\_\_ ☐ No 不是

(e) Are you an employee of an entity licensed or registered with the Hong Kong Securities and Futures Commission? 客戶是否香港證監會持牌人或註冊人的僱員?  
☐ Yes, details are 是, 詳情為: CE No. \_\_\_\_\_ ☐ No 不是

(f) Are you the Ultimate Beneficial Owner(s) of this account? 客戶是否此帳戶之最終受益人?  
☐ Yes 是  
☐ No, please provide UBO's name & ID/passport no. 不是, 請提供最終受益人的姓名及身份 證或護照號碼: \_\_\_\_\_

**Part 7 : Others 第七部分: 其他**

☐ Change Other Information 更改其他信息

Please provide additional information and relevant support documents 請提供更多信息和相關支持文件

Please effect my/our instructions as stated above from 請於此日期處理上流本人/吾等之更改指示: (DD/MM/YYYY)

X \_\_\_\_\_  
 客戶簽署 Client's Signature(s)

\_\_\_\_\_  
 日期 Date

(如屬公司戶口, 請加蓋公司印鑑。For corporate account, please affix with company chop.)

For Internal Use Only 只供內部填寫				
Signature Verification	INPUT BY	CHECKED BY	Approved By	Remarks